Origin of the magnetic and orbital ordering in α-Sr₂CrO₄

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Motivated by recent experimental progress in transition metal oxides with the K₂NiF₄ structure, we investigate the magnetic and orbital ordering in α -Sr₂CrO₄. Using first-principles calculations, first we derive a three-orbital Hubbard model, which reproduces the *ab initio* band structure near the Fermi level. The unique reverse splitting of t_{2g} orbitals in α -Sr₂CrO₄, with the $3d^2$ electronic configuration for the Cr⁴⁺ oxidation state, opens up the possibility of orbital ordering in this material. Using real-space Hartree-Fock for multiorbital systems, we constructed the ground-state phase diagram for the two-dimensional compound α -Sr₂CrO₄. We found stable ferromagnetic, antiferro-orbital, and staggered orbital stripe ordering in robust regions of the phase diagram. Furthermore, using the density matrix renormalization group method for two-leg ladders with the realistic hopping parameters of α -Sr₂CrO₄, we explore magnetic and orbital ordering for experimentally relevant interaction parameters. Again, we find a clear signature of antiferromagnetic spin ordering along with antiferro-orbital ordering at moderate to large Hubbard interaction strength. We also explore the orbital-resolved density of states with Lanczos, predicting insulating behavior for the compound α -Sr₂CrO₄, in agreement with experiments. Finally, an intuitive understanding of the results is provided based on a hierarchy between orbitals, with d_{xy} driving the spin order, while electronic repulsion and the effective one dimensionality of the movement within the d_{xz} and d_{yz} orbitals driving the orbital order.

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I. INTRODUCTION

Transition-metal oxides with the perovskite structure exhibit a wide variety of exotic magnetic, charge, and orbital ordering [1-3]. The rich phase diagrams and intriguing physical properties of these materials is due to the Hubbard and Hund interactions among the electrons occupying the 3d orbitals [4–7]. In particular, the study of perovskite compounds with the K_2NiF_4 structure is of considerable interest due to their similar crystal structures to the widely studied high- T_c cuprates based on La_2CuO_4 [8,9] and also the exotic *p*-wave superconductor Sr_2RuO_4 [10]. The orbital degree of freedom plays a crucial role in various types of structural transitions [11], in magnetic and charge order [12], and in exotic phenomena, such as the colossal magnetoresistance in transition-metal oxides with perovskite structure [13] and the previously mentioned high temperature superconductivity. The observation of the peculiar antiferromagnetism in metallic transition metal oxides [14] and ferromagnetism in insulating transition metal oxides [15,16] are often caused by the phenomenon of orbital ordering in the system [17].

Recent developments in the chromium-based Ruddlesden-Popper (RP) series $Sr_{n+1}Cr_nO_{3n+1}$, provide an ideal playground for the spin and orbital degrees of freedom. Using x rays and neutron diffraction, varying temperature, the simultaneous development of orbital and magnetic ordering has been observed for $Sr_3Cr_2O_7$, the n = 2 member of the RP series [18]. In this compound, the spin ordering was found to be antiferromagnetic, while the orbital ordering was described as forming orbital singlet states [18,19]. The possibility of high- T_c superconductivity in Sr₃Cr₂O₇ has been also proposed due to the hidden-ladder electronic structures [20] present in this compound. The perovskite SrCrO₃ with a cubic structure (the $n = \infty$ member of the RP system) was synthesized five decades ago and it is believed to be a nonmagnetic metal [21]. More recent studies on poly-crystalline SrCrO3 samples under high pressure demonstrated an anomalous nonmetallic behavior [22]. Based on neutron and powder X-ray diffraction, orbital ordering and electronic phase coexistence (tetragonal and cubic phases) was observed in SrCrO₃ [11]. At T = 40 K due to the orbital ordering instability, the cubic structure transforms to an antiferromagnetic tetragonal phase, which results on a low-temperature phase coexistence in SrCrO₃ [11]. Interestingly, orbital-ordering induced ferroelectricity has been proposed in SrCrO₃ [23].

Recently the study of α -Sr₂CrO₄ (the n = 1 member of the RP series. with α denoting the allotrope with layered structure) received attention due to its exotic magnetic and orbital ordering [24–26]. This compound has the K₂NiF₄ type structure, rendering it isostructural to high- T_c superconducting cuprates such as La₂CuO₄. In Sr₂CrO₄, chromium is in a Cr⁴⁺ oxidation state with a $3d^2$ electronic configuration and shows rare and unusual reversed crystal-field splitting [27]. Although the compound α -Sr₂CrO₄ was first synthesized long time ago, high-quality bulk samples were produced only quite recently [28]. In a recent experiment [28] on pure samples of α -Sr₂CrO₄, using magnetic susceptibility and specific heat measurements, two successive phase transitions at $T_N = 112$ K and $T_S = 140$ K were reported. As discussed in Ref. [27], the lower temperature phase transition ($T_N = 112$ K) is attributed to Néel ordering, while the higher temperature transition $T_S = 140$ K is caused by orbital ordering [27].

In Refs. [27,29] using density functional theory, the orbital ordering in α -Sr₂CrO₄ was explained by the reversal of the crystal-field splitting. More specifically, it was shown that the crystal-field energy location of the $3d_{xy}$ orbital of the chromium ion is lower in energy compared to the doubly degenerate $3d_{xz}$ and $3d_{yz}$ orbitals, which leads to an active orbital degree of freedom in the system. Moreover, in another experiment [24], the pressure (P)-temperature (T) phase diagram was obtained for α -Sr₂CrO₄, showing that this material remains an insulator even at large pressure and temperature. Interestingly, under the high pressure condition they observed only one phase transition from the antiferromagnetic insulating phase to a high temperature paramagnetic phase, while the orbital-ordering phase transition (T_s) disappears [24]. The disappearance of orbital-ordering was explained by the restoration of the reversed crystal-field splitting under highpressure [30]. This shows the importance of the rare reverse splitting in the orbitally ordered compound α -Sr₂CrO₄. Using resonant x-ray scattering collinear Néel-type magnetic ordering coexisting with stripe-like ordering was demonstrated in an experimental study of α -Sr₂CrO₄ [31]. Due to the difficulties in the synthesis of α -Sr₂CrO₄ in pure form and due to the effect of strong electronic interactions, only a few experimental and theoretical studies addressing this material have been presented.

As discussed above, previous theoretical studies for this material were based mainly on the density functional theory. In this publication, for the first time, we have studied the magnetic and orbital ordering of α -Sr₂CrO₄ using a multiorbital Hubbard Hamiltonian incorporating the Hubbard and Hund interactions. Via first-principles calculations we obtain the hopping amplitudes for the two-dimensional compound α -Sr₂CrO₄. Employing the unrestricted real-space Hartree-Fock approximation for two-dimensional three-orbital Hubbard model, we constructed the ground-state phase diagram by varying the on-site Hubbard repulsion U and Hund coupling J_H . We have found interesting spin- and orbital-ordered states in the phase diagram, including ferromagnetic, antiferromagnetic, antiferro-orbital, and staggered orbital stripe ordering, varying the U and J_H parameters. More importantly, we find a robust insulating phase with antiferromagnetic spin ordering and antiferro-orbital ordering in a large region of the phase diagram, which we consider to be quite relevant for the experimental study of the compound α -Sr₂CrO₄. Furthermore, employing the density matrix renormalization group (DMRG) method [32] for a two leg-ladder with the realistic hopping parameters of α -Sr₂CrO₄, we explore the spin and orbital ordering at a fixed Hund coupling $J_H/U = 0.2$ [33]. Interestingly, we found an excellent agreement between Hartree-Fock and the DMRG method with regards to spin

and orbital ordering for experimentally relevant interaction parameters. Using DMRG, we find the same insulating state with antiferromagnetic spin ordering and antiferro-orbital ordering as found via Hartree-Fock, for interaction strength $U/W \gtrsim 2.0$. We have also obtained the orbital-resolved density of states using the Lanczos method [9], which predicts an insulating ground state for α -Sr₂CrO₄. In a recent experiment, the insulating nature of the ground state with antiferromagnetic spin order was demonstrated for α -Sr₂CrO₄.

The organization of the manuscript is as follows. Section II provides details of the *ab initio* calculations for α -Sr₂CrO₄. Section III contains the multiorbital model and details of the numerical methods used. Section IV presents the results of the real-space Hartree-Fock method, where an extended phase diagram of the model was constructed. Section V has the DMRG and Lanczos results, where we focus on Hund coupling $J_H/U = 0.2$. In Sec. VI, a simple rationalization for the results we have found is provided, explaining both the magnetic and orbital order based on electronic correlations. Finally, in Sec. VII, we present our conclusions.

II. DFT METHODS

Under ambient conditions, α -Sr₂CrO₄ forms a quasi-twodimensional K₂NiF₄-type structure with the space group *I*4/*mmm* (No. 139), as shown in Fig. 1(a). The experimental lattice parameters are a = b = 3.816 Å and c = 12.482 Å [24]. To understand the electronic properties of the α -Sr₂CrO₄ system, first-principles density functional theory (DFT) calculations were performed based on the projector augmented wave (PAW) method, as implemented in the Vienna *ab initio* simulation package (VASP) code [34–36]. Here, we calculated the electronic correlations by using the generalized gradient approximation (GGA) with the Perdew-Burke-Ernzerhof function [37].

For the nonmagnetic state, our calculation uses the primitive cell instead of the conventional cell to evaluate the electronic structure of Sr_2CrO_4 , with the volume of the primitive cell being half of the conventional cell. Figure 1(b) shows the primitive lattice vectors $a_1 = (-a/2, a/2, c/2)$, $a_2 = (a/2, -a/2, c/2)$, and $a_3 = (a/2, a/2, -c/2)$, where *a* and *c* are the conventional-cell lattice constants. The plane-wave cutoff energy was 600 eV and the adopted *k*-point mesh was $10 \times 10 \times 10$. Note that we tested explicitly that this *k*-point mesh already leads to converged results. In addition to the standard DFT calculation discussed thus far, the maximally localized Wannier functions (MLWFs) method was employed to study the three Cr $3d t_{2g}$ bands by using the WANNIER90 packages [38].

Furthermore, we also followed the local spin density approach (LSDA) plus U, within the Dudarev formulation [39] in the magnetic DFT calculations. Since no significant structural transition was reported at low temperatures by experiments [31,40], we have used the same crystal structure for the magnetic states as employed for the nonmagnetic calculations. To better understand and focus on the electronic correlations, we did not relax the lattice constant and atomic position for the magnetic configurations that we studied. The magnetic lattice was chosen as a $\sqrt{2} \times \sqrt{2} \times 1$ supercell, involving two Cr atoms in one plane with the lattice constants



FIG. 1. (a) Schematic crystal structure of the canonical cell of Sr_2CrO_4 with the convention: green = Sr; blue = Cr; and red = O. (b) Schematic crystal structure of the primitive cell of Sr_2CrO_4 . (b) Density of states near the Fermi level for the nonmagnetic state. Black = total; blue = Sr; red = Cr; and green = O. (c) Projected band structure of Sr_2CrO_4 for the nonmagnetic state. The Fermi level is shown with dashed lines. The weight of each chromium orbital is represented by the size of the circle. The Brillouin zone notation is $\Gamma = (0, 0, 0), X = (0, 0, \pi/2),$ $X = (0, 0, \pi/2), P = (\pi/4, \pi/4, \pi/4), N = (0, \pi/2, 0),$ and $Z = (\pi/2, \pi/2, -\pi/2).$

5.397 Å and c = 12.482 Å, respectively. In our density of states (DOS) magnetic calculations, we used $12 \times 12 \times 8 k$ points and the plane cutoff energy was 550 eV.

Let us discuss now the electronic structure corresponding to the nonmagnetic (NM) state of Sr₂CrO₄. According to the calculated DOS [see Figs. 1(b) and 1(c)], the bands near the Fermi level are primarily contributed by the Cr-3d t_{2g} orbitals, slightly *hybridized* with the O-2p orbitals. Figure 1(c) shows that the e_g orbitals $d_{x^2-y^2}$ and $d_{3z^2-r^2}$ occupy high-energy states in the conduction band, indicating this system can be accurately regarded as having two electrons per site on the three t_{2g} orbitals. For this reason, we constructed three Wannier functions based on the MLWFs method [38], involving the t_{2g} orbital basis d_{xy} , d_{yz} , and d_{xz} for each Cr atom. As shown in Fig. 2(a), the DFT bands are accurately reproduced by the Wannier bands obtained from MLWFs. Based on the information of Wannier functions, we can deduce the on-site energy of the three t_{2g} orbitals and the corresponding hopping parameters (see Sec. III for details).



FIG. 2. (a) The original DFT band dispersion for Sr_2CrO_4 is shown using red solid lines, while the Wannier interpolated band dispersion is presented using green dashed lines. (b) Schematic energy splitting of Cr's 3*d* orbitals with the d^2 configuration. (c) Sketch of nearest-neighbor hoppings along the *x* and *y* directions, as indicated. (d) Tight binding (TB) band structure for the three t_{2g} orbitals using the 3 × 3 nearest-neighbor hopping matrices described in Sec. III. Note that along Γ -*P*-*X*, the d_{yz} and d_{xz} are identical.

The energy splitting of the Cr 3d orbitals is sketched in Fig. 2(b). First, the octahedral crystal field leads to three lower-energy t_{2g} orbitals $(d_{xy}, d_{yz}, and d_{xz})$ and two higher-energy e_g orbitals $(d_{x^2-y^2} \text{ and } d_{3z^2-r^2})$. In general, the Jahn-Teller distortion produces two different types of Cr-O bonds, with two longer Cr-O bonds along the z direction and four shorter Cr-O bonds within the a - b plane, resulting in the energies of the d_{yz} and d_{xz} orbitals shifted down compared with the energy of the d_{xy} orbital. However, here the crystal-field levels were reversed as discussed in Ref. [27], leading to a lower d_{xy} orbital as compared with the d_{xz} and d_{yz} orbitals. Thus, this system can be regarded as $(d_{xy})^1 (d_{xz}, d_{yz})^1$, as illustrated in Fig. 2(b). Based on the on-site energy difference between the d_{xy} and d_{xz}/d_{yz} orbitals, the crystal splitting energy is $\Delta = 0.11$ eV. Because it is too difficult to deal with hopping matrices over extended distances when employing three orbitals in DMRG, we only considered the nearest neighbor (NN) hopping matrices along the x and yaxes [Fig. 2(c)]. Figure 2(d) shows that the tight binding (TB) band structure for three t_{2g} orbitals using *only* the NN hopping matrix qualitatively agrees with the DFT band structure.

III. THREE-ORBITAL HUBBARD MODEL AND METHODS

The multiorbital Hubbard model for the primarily twodimensional compound Sr_2CrO_4 with three Cr orbitals at each site, derived using the *ab initio* calculation of the previous section, will be presented here in detail. This multiorbital Hubbard model can be written as the sum of kinetic and interaction energy terms $H = H_k + H_{in}$ [41]. The kinetic component contains the hopping along the *x* and *y* directions of the two-dimensional lattice:

$$H_{k} = \sum_{i,\sigma,\gamma,\gamma'} t_{\gamma,\gamma'}^{x} (c_{i\sigma,\gamma}^{\dagger} c_{i+\hat{x},\sigma,\gamma'} + \text{H.c.}) + t_{\gamma,\gamma'}^{y} (c_{i,\sigma,\gamma}^{\dagger} c_{i+\hat{y},\sigma,\gamma'} + \text{H.c.}) + \sum_{i,\gamma\sigma} \Delta_{\gamma} n_{i,\sigma,\gamma}, \quad (1)$$

where $t_{\gamma,\gamma'}^x$ is the NN hopping matrix along the *x* direction in the orbital space $\gamma = \{d_{xz}, d_{yz}, d_{xy}\}$, while $t_{\gamma,\gamma'}^y$ is the NN hopping matrix along the *y* direction. The vectors \hat{x} and \hat{y} are unit vectors (in lattice spacing units) along the *x* and *y* axes, respectively. $n_{i,\sigma,\gamma}$ represents the orbital- and spin-resolved electronic number operator. These three orbitals will be denoted as $\gamma = \{1, 2, 3\}$, respectively, for notation simplicity. The hopping matrices for α -Sr₂CrO₄ were obtained from a tight-binding Wannier function analysis of DFT results and they are all in eV units. The 3 × 3 hopping matrix along the *x*-direction $t_{\gamma,\gamma'}^x$, between sites *i* and *i* + \hat{x} , in orbital space and in eV units, is given by

$$t_{\gamma,\gamma'}^{x} = \begin{vmatrix} -0.193 & 0.000 & 0.000 \\ 0.000 & -0.039 & 0.000 \\ 0.000 & 0.000 & -0.246 \end{vmatrix},$$

where γ is the orbital index for site *i* and γ' for $i + \hat{x}$. Similarly, $t_{\gamma,\gamma'}^{y}$ is the 3 × 3 hopping matrix between sites *i* and $i + \hat{y}$ along the *y* direction:

$$t_{\gamma,\gamma'}^{y} = \begin{bmatrix} -0.039 & 0.000 & 0.000\\ 0.000 & -0.193 & 0.000\\ 0.000 & 0.000 & -0.246 \end{bmatrix}.$$

The on-site matrix with the crystal fields Δ_{γ} for each orbital is given by

$$t_{\gamma,\gamma}^{\text{on-site}} = \begin{bmatrix} 4.748 & 0.000 & 0.000\\ 0.000 & 4.748 & 0.000\\ 0.000 & 0.000 & 4.639 \end{bmatrix}.$$

The matrices are diagonal because the three orbitals used are orthogonal to each other, and no lattice distortions that could break this symmetry are included. The kinetic energy bandwidth is W = 2.0 eV.

The electronic interaction portion of the Hamiltonian is

$$H_{\rm in} = U \sum_{i\gamma} n_{i\uparrow\gamma} n_{i\downarrow\gamma} + \left(U' - \frac{J_H}{2}\right) \sum_{i,\gamma < \gamma'} n_{i\gamma} n_{i\gamma'}$$
$$- 2J_H \sum_{i,\gamma < \gamma'} \mathbf{S}_{i,\gamma} \cdot \mathbf{S}_{i,\gamma'} + J_H \sum_{i,\gamma < \gamma'} (P_{i\gamma}^+ P_{i\gamma'} + \text{H.c.}). \quad (2)$$

The first term is the on-site Hubbard repulsion between \uparrow and \downarrow electrons in the same orbital. The second term is the on-site electronic repulsion between electrons at different orbitals, same site. Due to the SU(2) symmetry of the Hamiltonian, the standard relation $U' = U - 2J_H$ is here assumed. The third term shows the ferromagnetic Hund's interaction between electrons occupying the active three orbitals ($\gamma = \{d_{xz}, d_{yz}, d_{xy}\}$). The operator $\mathbf{S}_{i,\gamma}$ is the total spin at site *i* and orbital γ . The last term is the on-site pair-hopping between different orbitals, where $P_{i\gamma} = c_{i\downarrow\gamma}c_{i\uparrow\gamma}$.

To solve this three-orbital Hubbard model, and obtain the predicted ground-state properties of α -Sr₂CrO₄, three many-body techniques will be employed: the real-space Hartree-Fock, DMRG, and Lanczos methods. For the realspace Hartree-Fock calculation, we used a cluster size up to 16×16 , while for DMRG, we used cluster sizes up to 2×10 (sizes are severely restricted within DMRG three orbitals because, due to entanglement, this cluster demands even more effort than a 6×10 one orbital). Using the Hartree-Fock method, we have calculated the density of state (DOS), spin and orbital correlations and their structure factors. The electronic density was fixed at n = 2/3 (two electrons per site, i.e., two electrons in three orbitals) in our numerical calculations. For both the real-space Hartree-Fock and DMRG methods we employed open-boundary conditions. For DMRG, at least 1600 states were kept during the calculations and we used the DMRG + + software [42]. Furthermore, we employed the Lanczos method for small clusters $L = 2 \times 2$ to obtain the orbital-resolved density of states.

IV. HARTREE-FOCK RESULTS

This section discusses the results for two-dimensional clusters calculated using the unrestricted real-space Hartree-Fock approximation. The Hartree-Fock decomposition is performed for all the four-fermionic terms in the interaction Eq. (2) leading to many order parameters $\langle c_{i,\alpha,\sigma}^{\dagger} c_{i,\beta,\sigma'} \rangle$ for each site *i*, where α , β are orbitals and σ , σ' are spins. We started the iterative process from random initial conditions for the order parameters and self-consistency was reached using the modified Broyden's method [43]. A chemical potential μ is tuned to target the required electronic density. To smooth the phase boundaries, we also performed Hartree-Fock calculations starting with order parameters corresponding to the ideal representation of the competing phases. To identify these phases we have calculated spin-spin correlations, the associated spin structure factor, local spin moments, orbital-resolved local densities, and the overall density of states.

The main result of this section is the J_H/U versus U/W phase diagram, presented in Fig. 3. Calculations were performed for all the points indicated, employing either 16×16 or 12×12 cluster sizes. In the small U region, mainly for $U/W \leq 0.5$, as expected we found either a featureless paramagnetic metal (PM) or an incommensurate spin-density-wave metallic (IC-SDW) phase, smoothly connected to one another. Because this regime does not seem experimentally relevant, we did not explore this region in further detail.

More important for our purposes, in the range $J_H/U < 0.24$ coexisting antiferromagnetism and antiferro-orbital ordering (AFM + AFO) was identified. This state is insulating,



FIG. 3. J_H/U vs U/W phase diagram calculated using the Hartree-Fock method for a two-dimensional system. Lattice sizes 12×12 and 16×16 were used. Electronic density is n = 2/3 i.e. two electrons per site. The notation PM, IC-SDW, FM, AFM, AFO, and SOS stands for paramagnetic, incommensurate spin-density wave, ferromagnetic, antiferromagnetic, antiferro-orbital, and staggered orbital stripe order, respectively. I and M stand for insulating and metallic, respectively.

i.e., it has a robust gap at the chemical potential in the density of states. The value of U needed to stabilize this phase strongly depends on the value of J_H (see green region of the phase diagram).

In the large Hund coupling region we found ferromagnetic (FM) ordering, driven mainly by double exchange. In this regime, several interesting phases were identified. In particular, we observed a metal to insulator transition increasing U (blue and grey colored regions), and at large U the FM order is accompanied with AFO in a small portion of the parameter space explored. From the results in Fig. 3, we can safely claim that AFO is stabilized by large U [27]. We have not found (via mean field and in the parameter region studied) the collinear orbital stripe (COS) state considered to be the ground state in Ref. [31]. Further work is required to confirm or deny its existence in the full phase diagram.

Figure 4(a) shows the evolution of energies with U/W for various states, with J_H/U fixed to 0.2. In the intermediate U region we found a novel state dubbed staggered orbital stripe (SOS) as the ground state, which is used as the energy of reference in Fig. 4(a). This novel phase appears in the intermediate U and J_H region [red region in Fig. 4(c)], and in this phase the average occupation in orbitals xy and xz is 0.75 each, while yz is 0.50, as shown in Fig. 4(e). On the other hand, in the proposed COS phase and in the AFO phase of our focus here, the orbital xy is half-filled (i.e., occupation 1.00) while the orbitals xz and yz are quarter filled (occupation 0.50 each).

The colors in Figs. 4(c) and 4(d) indicate the mean value of the local τ_i^z for the SOS (depicting stripe order) and AFO (depicting staggered order) phases, respectively. For example, in the SOS phase, there are vertical red stripes with local occupations $n_{xz} = n_{xy} = 1$, $n_{yz} = 0$, and blue/white staggered stripes with occupations ($n_{yz} = n_{xy} = 1$, $n_{xz} = 0$) and ($n_{xz} = n_{yz} = 1$, $n_{xy} = 0$), respectively. In our calculations, we found that the above state is degenerate with the state having horizontal



FIG. 4. (a) contains the energies of several states (indicated) varying U/W, at fixed $J_H/U = 0.2$. In (b), (c), and (d) the real-space values of $\langle \tau_i^z \rangle$ are displayed color coded for the COS, SOS, and AFO states, respectively. See color explanation in text. In (e), the average orbital-resolved electronic occupation $\langle n_{\alpha} \rangle$ and average local spin moment $\langle \mathbf{S}^2 \rangle$ is shown for various values of U/W.

blue stripes and staggered red and white stripes, as expected. Increasing the interaction strength, for $U/W \gtrsim 1.8$ the AFM (spin staggered) + AFO (orbital staggered) ordering becomes the ground state [at very large U/W the COS + AFM and AFM + AFO states are close in energy, see Fig. 4(a)]. Note the survival only of the red and blue colors, showing that n_{xy} is always 1, with $n_{xz} = 1.0/n_{yz} = 0.0$ (red) and $n_{yz} = 1.0/n_{xz} =$ 0.0 (blue) alternating from site to site in a staggered manner. The AFM + AFO is the most experimentally relevant state in the phase diagram, and thus our most important result.

Some recent experiments employing pure samples of α -Sr₂CrO₄ suggest that the compound is insulating with antiferromagnetic spin ordering [24,44] (the type of orbital ordering is still unclear experimentally). Based on these results, we assume the physical regime for this material lies approximately within the U/W and J_H/U pink or green range of the phase diagram. Thus our mean field calculations suggest that, from the perspective of magnetism only, the novel SOS phase or the AFM + AFO phase are suitable candidates at low temperatures for this material, because the other phases are either FM or PM.

V. DMRG RESULTS

This section explores the spin and orbital ordering corresponding to a two-leg ladder three-orbital Hubbard model [see



FIG. 5. (a) Schematic representation of a two-leg ladder with three-orbitals at each site. [(b) and (c)] DMRG phase diagram at fixed $J_H/U = 0.2$. (b) contains the spin ordering, where PM stands for paramagnetic phase, IC for incommensurate ordering, and AFM for antiferromagnetic staggered spin ordering. (c) contains the orbital ordering, where RFO stands for rung ferromagnetic orbital ordering, and AFO for antiferro-orbital ordering along both the leg and rung of the ladder.

Fig. 5(a)] employing the density matrix renormalization group method. The reason is that several previous examples, such as in models for Cu- and Fe-based superconductors, has shown that two-leg ladders and planes share qualitatively many properties [45–50], while DMRG in multiorbital systems can be applied to ladders but not to planes. To obtain the physical properties of the proposed model, we use the previously discussed *ab initio* hopping parameters of the two-dimensional compound α -Sr₂CrO₄ and vary U/W at a fixed $J_H/U = 0.2$, because at this J_H/U the Hartree Fock results suggest a rich phase diagram.

Figures 5(b) and 5(c) contain the DMRG magnetic and orbital ordering phase diagram for the ground state of the system, based on DMRG calculations measuring the spin-spin correlation, orbital-resolved site-average charge density, and orbital-correlation functions. For low values of $U/W \leq 1.0$, the system is in a paramagnetic phase (PM) without orbital ordering, as expected in weak coupling. With slight increase in interaction strength $1.0 \leq U/W \leq 1.5$ a rung-ferro-orbital (RFO) type orbital-ordering appears (without magnetic ordering). Further increasing the *U* coupling, at $1.5 \leq U/W \leq 2.0$ incommensurate spin and orbital ordering is observed. While these regions are all interesting from the fundamental physics perspective, they will not be the focus of our publication because they are not realized in Sr₂CrO₄. For this reason, these states will not be discussed further.

For $U/W \gtrsim 2.0$, an insulating state with antiferromagnetic (AFM) order (see sketch in Fig. 6) and antiferro-orbital ordering (AFO) (see sketch in Fig. 8) becomes stable, results consistent with those of the real-space Hartree-Fock method. This AFM + AFO phase is our main focus because it is the most experimentally relevant phase for the compound α -Sr₂CrO₄.

A. Magnetic order

Figure 6(a) shows the spin-spin correlation $S(r) = \langle \mathbf{S}_1 \cdot \mathbf{S}_j \rangle$ vs distance *r* for different values of U/W and at



FIG. 6. (a) Real-space spin correlation $S(r) = \langle \mathbf{S}_1 \cdot \mathbf{S}_j \rangle$ (with r = |1 - j|), and (b) spin structure factor $S(q_x, \pi)$, for different values of U/W, at a fixed Hund coupling $J_H/U = 0.2$, and using a $L = 2 \times 8$ cluster. (c) Schematic of a two-leg ladder, showing the stabilized real-space spin arrangement at large U with the "snake" counting of ladder sites index used in (a) to calculate the distance r.

 $J_H/U = 0.2$. We define $\mathbf{S}_i = \sum_{\gamma} \mathbf{S}_{i\gamma}$ and in general r = |i - i|*j*, with *i* and *j* site indexes, although here we use site i = 1as the reference site to calculate the spin-spin correlation from other sites *j*. For U/W < 2.0, the spin-spin correlation decays very fast with distance r, suggesting a PM phase in the system. On the other hand, increasing the on-site repulsion to the range U/W > 2.0, S(r) decays much more slowly, as a power law, which is in agreement with having an AFM phase in the system (in one dimension, full long-range order is not possible). As shown schematically in Fig. 6, bottom panel, the system forms antiferromagnetic ordering both along the legs and along the rungs of the ladder. Interestingly, in recent experiments with good quality samples of $\alpha - Sr_2CrO_4$ the presence of AFM ordering has been suggested via magnetic susceptibility measurements [28]. Also in neutron diffraction studies, a clear AFM staggered order has been unveiled in the (a, b) plane with a wave vector [1/2, 1/2] at low temperatures [29].

In order to visualize our results for magnetic ordering in reciprocal space, we have calculated the spin structure factor $S(q_x, q_y) = (1/L) \sum_{i,j} e^{-i\mathbf{q}\cdot\mathbf{r}_{ij}} \langle \mathbf{S}_i \cdot \mathbf{S}_j \rangle$ [in particular, we calculated and used all the correlations S(r) available in our ladder averaging over all possible distances r = |i - j|]. Figure 6(b) displays the spin structure factor $S(q_x, \pi)$ for different values of U/W at fixed $J_H/U = 0.2$. A robust sharp peak at $S(\pi, \pi)$ emerges for U/W > 2.0 [as schematically shown in Fig. 6(c)]. Interestingly, the sharpness of the peak at $(q_x = \pi, q_y = \pi)$ suggests that even using a two-leg ladder, the spin AFM ordering expected in the two-dimensional compound $\operatorname{Sr}_2\operatorname{CrO}_4$ can be predicted using DMRG.

Figure 7(a) shows the site-average occupancy of orbitals $\langle n_{\gamma} \rangle$ versus U/W. Interestingly, for $U/W \gtrsim 2.0$ the



FIG. 7. (a) Site-average electron occupancy n_{γ} for the three orbitals { $\gamma = 1, 2, 3$ } vs U/W. (Inset) Site-averaged charge fluctuations δN vs U/W. (b) Site-average spin structure factor $S(\pi, \pi)$ vs U/W. (Inset) Site-averaged expectation value of the total spin squared vs U/W. These results were obtained using DMRG with cluster size $L = 2 \times 8$ at fixed $J_H/U = 0.2$.

population of $\gamma = 3$ (the d_{xy} orbital) reaches 1, while the other two orbitals $\gamma = 1$ (d_{xz}) and $\gamma = 2$ (d_{yz}) approach 1/2. The occupancy of orbitals $\langle n_{\gamma} \rangle$ is consistent with results using DFT and real-space Hartree-Fock [see Fig. 4(e)]. As discussed in the DFT section, the reversed splitting of the crystal-field levels of the t_{2g} orbitals results into a lower energy d_{xy} and higher-energy degenerate (d_{xz} , d_{yz}) orbitals. This naturally leads to occupancy 1 for the d_{xy} orbital, while the remaining single electron is shared by the degenerate d_{xz} and d_{yz} orbitals.

In order to find the metallic versus insulating character of the system with increasing interaction strength U/W, in the inset of Fig. 7(a), we show the charge fluctuations $\delta N =$ $1/L \sum_i (\langle n_i^2 \rangle - \langle n_i \rangle^2)$ versus U/W. For U/W < 2, δN has a finite nonzero value, indicating metallic behavior. However, for $U/W \gtrsim 2.0$, δN approaches zero, suggesting insulating behavior for the system. Figure 7(b) presents the peak value of the spin structure factor $S(\pi, \pi)$ vs U/W. At $U/W \gtrsim 1.0$, $S(\pi, \pi)$ starts growing and saturates to a large value after $U/W \gtrsim 2.0$. The small values of $S(\pi, \pi)$ and finite δN , indicates with clarity a metallic paramagnetic phase for $U/W \leq 1$ 1.5. On the other hand, the large values of $S(\pi, \pi)$ for $U/W \gtrsim$ 2.0 signal a robust insulating state with AFM ordering in the system. This evidence of insulating behavior with dominating AFM $S(\pi, \pi)$ ordering is in excellent agreement with the recent experiments based on neutron diffraction measurements for α -Sr₂CrO₄ [29].

The inset of Fig. 7(b) shows the mean value of the local spin-squared averaged over all sites $\langle S^2 \rangle = \frac{1}{L} \sum_i \langle \mathbf{S}_i \cdot \mathbf{S}_i \rangle$. For $U/W \gtrsim 1.0$ the local spin moment is fully developed at each site and acquires the value S = 1 (i.e, magnetic moment 2.0 μ_B), primarily driven by a robust Hund coupling. The results for the spin structure factor $S(\pi, \pi)$ and $\langle S^2 \rangle$ suggest a robust spin S = 1 antiferromagnetic Néel ordering in the system for $U/W \gtrsim 2.0$.



FIG. 8. (a) Electronic charge occupancy $\langle n_{\gamma,i} \rangle$ for the three orbitals { $\gamma = 1, 2, 3$ } vs site index *i* at U/W = 6.0. [(b) and (c)] The orbital-ordering structure factors (b) $T(q_x, \pi)$ (c) $T(q_x, 0)$ at U/W = 6.0 and $J_H/U = 0.2$. In (d), there is the schematic representation of the electronic occupancies for the three orbitals d_{xy} (green circles,) d_{xz} (red circles), and d_{yz} (blue circles) at each site of a two-leg ladder system. The colored circles with up or down arrows represent occupied orbitals with the corresponding orientation of the spins, while circles with no arrows denote empty orbitals. The size of the circles does not denote electronic density; it just represents the different orbitals.

B. Orbital order

As explained in the DFT section, the reverse splitting of t_{2g} orbitals into lower (d_{xy}) and higher degenerate (d_{xz}, d_{yz}) orbitals opens the possibility of orbital ordering in the system. The site-average electronic occupancy of orbitals $[\langle n_1 \rangle = \langle n_2 \rangle = 0.5$ and $\langle n_3 \rangle = 1$] [see Fig. 7(a) for $U/W \gtrsim 2.0$] also hints towards a reverse splitting and suggest the presence of an active orbital degree of freedom in the real compound α -Sr₂CrO₄. Using the DFT method, antiferro-orbital ordering has been shown in Ref. [27] for the compound α -Sr₂CrO₄ (see Appendix Fig. 12, where using DFT calculations we also obtain antiferro-orbital ordering).

Here, to find the real-space orbital ordering pattern in our ladder model for α -Sr₂CrO₄ we focus on the interaction parameter $U/W \gtrsim 2.0$ (because the model is in an insulating state with AFM-spin ordering for $U/W \gtrsim 2.0$). In Fig. 8(a), we plot the population of the three orbitals $n_{\gamma,i}$ vs the site index *i* at U/W = 6.0 and $J_H/U = 0.2$ for cluster size $L = 2 \times 10$. As shown in Fig. 8(a), the orbital 3 (d_{xy}) takes value one for all sites, whereas orbitals 1 (d_{xz}) and 2 (d_{yz}) show a dominating staggered orbital ordering pattern, both along the rung and legs of the ladder [except the first two and last two rungs of the ladder which display ferro-type orbital ordering, but likely this is an edge effect due to the open boundary conditions of DMRG]. The bottom sketch of Fig. 8(d) illustrates the real-space orbital and spin pattern on the two leg ladder from a 2×10 cluster. Note that orbital d_{xy} (green circle) is always singly occupied, while orbitals d_{xz} (red circle) and d_{yz} (blue circle) are occupied on alternate sites along the rungs and legs of the ladder (namely AFO-orbital ordering is shown).

To gather more insight, we investigate the system size dependent orbital-ordering structure factor $T(q_x, q_y) =$ $(1/L) \sum_{i,j} e^{-i\mathbf{q}\cdot\mathbf{r}_{ij}} \langle T_i T_j \rangle$ [where $T_i = n_{\gamma=1,i} - n_{\gamma=2,i}$] at U/W = 6.0 for two wave vectors $q_y = 0$ and π . In Figs. 8(b) and 8(c), we show the orbital-ordering structure factors, $T(q_x, \pi)$ and $T(q_x, 0)$ versus q_x , respectively, for three cluster sizes $L = 2 \times 6$, 2×8 and 2×10 , at U/W = 6.0and $J_H/U = 0.2$. Interestingly, we find the value of the peak at $q_x = \pi$ for $T(q_x, \pi)$ increases sharply with increasing the system size, see Fig. 8(b). However, the peak values for $T(q_x, 0)$ decreases with increasing the system size. Thus, the latter peak is probably due to finite size effects and it can be discarded. The increase in strength of the $(q_x = \pi,$ $q_{\rm v} = \pi$) peak clearly indicates that for large system sizes the antiferro-type orbital ordering will be dominating for $U/W \gtrsim 2.0.$

C. Lanczos results and density of states

To characterize the metallic vs insulating behavior of the system varying the interaction strength, in addition to the charge fluctuations previously discussed we have also investigated the orbital-resolved density of state using the Lanczos method for a small cluster $L = 2 \times 2$. Although the cluster is small, the results are enough to explain qualitatively the metallic and insulating behavior of the system. Figure 9 contains the orbital-resolved density of states (DOS) versus $\omega - \mu$ (ω is the frequency and μ is the chemical potential) for three values of U/W = 0.5, 2.0, and 6.0, and at fixed $J_H/U = 0.2$. As shown in Fig. 9(a), all the three orbitals carry nonzero weight at U/W = 0.5, indicating metallic behavior. However, in Figs. 9(b) and 9(c) the system opens a large gap, compatible with insulating behavior at U/W = 2 and 6. The insulating behavior of thin films of the compound Sr₂CrO₄ has been experimentally demonstrated by measuring the optical conductivity spectra [44].

VI. ORIGIN OF STAGGERED AFM AND AFO ORDER

As discussed in previous sections, our numerical results (HF, DMRG, and DFT) predict an insulating antiferromagnetic state with antiferro-orbital ordering for the three-orbital model representing the compound α -Sr₂CrO₄. We here provide an intuitive explanation for the existence of this spin and orbital arrangement.

The stability of AFM order at $U/W \gtrsim 2.0$ can be explained intuitively by considering the dominant role of the most mobile orbital d_{xy} together with the on-site interaction U. The d_{xy} orbital is separated from the rest of the orbitals by the crystal field, and it has the largest hopping amplitude along both the x and y directions, see sketch in Fig. 10(a). Thus, as a first crude approximation we can focus on this orbital. Its halffilled nature, one electron per d_{xy} orbital, makes this subspace



FIG. 9. Orbital-resolved density of state (DOS) vs $\omega - \mu$ for different values of interaction strengths (a) U/W = 0.5, (b) 2.0, and (c) 6.0, at fixed $J_H/U = 0.2$, using the Lanczos diagonalization method for a small $L = 2 \times 2$ cluster.

effectively a one-orbital Hubbard model at half-filling n = 1. Because of the large on-site interaction U, which generates an effective Heisenberg superexchange model, staggered AFM order dominates. Moreover, because of the robust on-site Hund interaction J_H , the electrons located in the other d_{xz} and d_{yz} orbitals will follow the same spin pattern as the d_{xy} orbital. Thus, the overall driver of the AFM order in the entire system is the d_{xy} orbital. Note that if we would have focused only on the two degenerate orbitals, then following the Kugel-Khomskii ideas we would have not obtained simultaneous AFM spin and orbital order.

The existence of antiferro-orbital ordering in the twofolded degenerate space of orbitals d_{xz} and d_{yz} can be explained by considering their hopping amplitudes (different along the x and y directions) and the on-site interorbital repulsion $U' = U - 2J_H$. Note that the hopping amplitude for d_{xz} orbitals is much larger along the x direction than y, while for d_{yz} the reciprocal occurs, i.e., much larger along y than x. To minimize the U' repulsion it is natural to spread the charge in this $d_{xz} - d_{yz}$ sector, leading to one electron per site in this subspace. Once again, we remind the reader that the AFM order in the d_{xy} orbital and large Hund coupling. Now we are only aiming to explain the other portion of the order, i.e., the staggered orbital order.

Let us arrange electrons in the $d_{xz} - d_{yz}$ subspace keeping the spin AFM fixed—starting at the center site in the 3 × 3 lattice shown in Fig. 10(b). Arbitrarily, let us place there an electron with spin down in orbital d_{yz} , indicated by a filled red circle. Because this orbital has hopping primarily along the y axis, then to help with the electronic itineracy,



FIG. 10. (a) Sketch representing the d_{xy} orbitals, which have the largest hopping amplitude along the x and y directions in the t_{2g} sector. This orbital is always occupied by one electron, thus it develops staggered spin ordering. This AFM order in the d_{xy} sector fixes the AFM order in the rest of the orbitals due to the robust Hund coupling. (b) Schematic representation of the d_{xz} (blue) and d_{yz} (red) orbitals. The AFM spin order is fixed as explained in (a), and here we aim to understand the orbital order. Circles in dark color represent occupied orbitals while light color are empty orbitals. The hopping amplitudes for d_{xz} orbitals along the x direction ($t_{1,1}^x = -0.193$) dominate over the y direction ($t_{1,1}^y = -0.039$). Reciprocally, the hopping amplitudes for the d_{yz} orbitals along y ($t_{2,2}^y = -0.193$) dominate over the x direction hopping ($t_{2,2}^x = -0.039$). Those dominant hoppings are represented by colored dashed lines.

which reduces the energy via the tight-binding term, the two neighboring sites along y should not have electrons in d_{yz} . Then, in those sites the electron is located in the d_{xz} orbital, indicated with a filled blue circle. Because the spin must follow the pattern dictated by the d_{xy} orbital due to J_H , then those electrons have spin up.

Consider now the upper row in Fig. 10(b). After the central spin is fixed in orientation and orbital d_{xz} location by the discussion above, by the same rationale as in the previous paragraph, then the two sites left and right must contain an electron in the d_{yz} orbital with spin pointing down. By this procedure all the sites of the lattice can be filled, and the pattern that emerges is in Fig. 10(b). Clearly, the orbital d_{xz} is polarized up and has a staggered occupancy in the two-dimensional lattice, and the orbital d_{yz} is the opposite, namely polarized down occupying the other half of the lattice.



FIG. 11. Spin-resolved charge occupancy of the d_{xz} and d_{yz} orbitals vs site index *i*, using DMRG applied to a two-leg ladder of size $L = 2 \times 8$. (a) shows that $\langle n_{i,1,\uparrow} \rangle > \langle n_{i,1,\downarrow} \rangle$ for the d_{xz} orbitals. (b) shows that $\langle n_{i,2,\uparrow} \rangle < \langle n_{i,2,\downarrow} \rangle$ for the d_{yz} orbitals, in agreement with the qualitative description presented in Sec. VI.

Electrons in d_{xz} move primarily along the x direction, while those in d_{yz} move along the y direction. Thus, both of them are effectively one-dimensional with regards to their mobility, while the d_{xy} electrons are two-dimensional. With this arrangement, the dominant U repulsion is not active since there is no double occupancy, the U' repulsion is minimized by spreading the charge, the J_H term which is effectively attractive is active in all sites, and the kinetic energy is optimized because all electrons in the d_{xz} and d_{yz} subspaces can jump to empty nearest-neighbor sites.

The staggered arrangement of the d_{xz} and d_{yz} orbitals emerging from this description was further confirmed by DMRG calculations on the two-leg ladder. We find that the electronic density for the d_{xz} orbitals satisfies $\langle n_{i,1,\uparrow} \rangle >$ $\langle n_{i,1,\downarrow} \rangle$, while for the d_{yz} orbital $\langle n_{i,2,\uparrow} \rangle < \langle n_{i,2,\downarrow} \rangle$ (see Fig. 11). This pattern of spins up in d_{xz} and spin down in d_{yz} can be reversed, producing a degeneracy two in the state.

VII. CONCLUSIONS

In this publication, the magnetic and orbital ordering of the compound α -Sr₂CrO₄ has been investigated by using unrestricted real-space Hartree-Fock, DMRG, and Lanczos techniques. Realistic hopping amplitudes for the three-orbital Hubbard model used here were derived using ab initio calculations. We applied the Hartree-Fock method to the twodimensional three-orbital Hubbard model, and we found a rich phase diagram, with a variety of ferromagnetic (FM), antiferromagnetic (AFM), staggered orbital (SOS), and antiferroorbital (AFO) ordered phases. Furthermore, using DMRG for two-leg ladders we also investigated the spin and orbital ordering with the same realistic hopping parameters corresponding to α -Sr₂CrO₄. Both the Hartree-Fock and DMRG methods predict the same insulating ground state with antiferromagnetic spin ordering, in excellent agreement with experiments. Moreover, the unique reverse splitting of t_{2g} orbitals for the compound Sr₂CrO₄ unveiled by DFT is important to understand the orbital ordering. Both our Hartree-Fock and DMRG results converge to a stable antiferro-orbital ordering for moderate to large interaction strength U, a range expected to be relevant for the real material Sr₂CrO₄. Using the Lanczos method for a small size cluster, the orbital-resolved density of state was calculated, and it displays insulating behavior for this system. We believe that our numerical results related to spin and orbital ordering, using a realistic three-orbital Hubbard model, provide a qualitatively accurate description for the compound Sr₂CrO₄. With the evidence provided here and in other related publications that the orbital degree of freedom is active in Cr oxides, a plethora of attractive possibilities open up, such as replicating with Cr the wide variety of orbitally ordered states reported in manganites [51,52] and ruthenates [53], the effect of strain [54,55], and the possibility of block states [56–59] or even spirals [60]. Recent theoretical work has even suggested that superconductivity is possible upon doping a doubly degenerate multiorbital system in chains [61] and, thus, similar results in planes could occur.

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APPENDIX

In this Appendix, we will discuss the magnetic ground state and orbital ordering state of α -Sr₂CrO₄ based on DFT calculations. Considering the C_4 symmetry of the 2D square lattice of Sr₂CrO₄, we only calculated two possible spin configurations [see Fig. 12(a)] by using LSDA + U_{eff} , with $U_{\text{eff}} = 2 \text{ eV}$. We found that the G-AFM (π , π) has lower energy than the FM state, which is consistent with the experimental results [29,31] and also with our DMRG and real-space Hartree-Fock results in a robust region of parameter space. As shown in Figs. 12(b) and 12(c), the orbital ordering physics was also successfully realized, namely the $3d_{xy}$ orbitals are occupied in both the spin-up and spin-down channels of the Cr atoms, while the $3d_{xz}/3d_{yz}$ are occupied in spin-up or spin-down Cr atoms,



FIG. 12. (a) Sketch of FM and G-AFM spin configurations in the 2D square lattice, considered in the DFT calculations. Spin-up and spin-down are indicated by arrows. [(b) and (c)] Cr-projected local DOS corresponding to the spin-up and spin-down Cr atoms in one plane with a G-AFM type magnetic configuration, respectively. The Fermi level is indicated with dashed lines.

respectively. In this case, the orbital-ordered state should have wave vector (π , π), namely, antiferro-orbital ordering (AFO) along both *x* and *y* directions. Based on our DFT calculations, we qualitatively obtained the orbital ordering driven by electronic correlation, in excellent agreement with the results more systematically discussed in this publication using the Hartee-Fock and DMRG calculations. Furthermore, we also found a Mott gap in the DOS, as displayed in Figs. 12(b) and 12(c), indicating that the system is a Mott-Hubbard-type insulator in agreement with experiments [44].

In summary, using the DFT calculations, we properly reproduced the recent developments in the experimental study of the Sr₂CrO₄ system. We showed that the crystal field and reversed Jahn-Teller distortion lead to the $(d_{xy})^1(d_{xz}, d_{yz})^1$ electron occupation, corresponding to a CrO₆ octahedral with a $3d^2$ configuration. Furthermore, we obtained the G-AFM (π, π) ground state and (π, π) antiferro-orbital ordering.

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