

# Chapter 9: The WKB Approximation

Back to time independent problems. WKB stands for Wentzel, Kramers, Brillouin.

WKB is a technique to obtain **approximate** solutions to time independent problems, mainly in 1D or where only "r" matters in 3D.

**Main intuitive idea:** suppose you have a potential  $V(x)=V$  totally constant, no imperfections. Then, the solution if  $E > V(x)$  is:

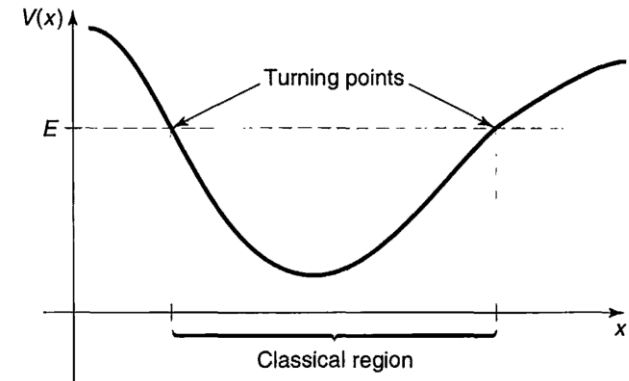
$$\psi(x) = Ae^{\pm ikx} \quad k \equiv \sqrt{2m(E - V)}/\hbar \quad \lambda = 2\pi/k$$

Of course, here  $A$  is constant,  $k$  is constant,  $\lambda$  is constant.

However, a perfectly flat potential is unlikely. **Suppose  $V(x)$  is "nearly" flat but changes very slowly with  $x$** , i.e. over distances much larger than  $\lambda$ . Then, the solution cannot be too different:  **$A, k, \dots$  will now be smooth slowly varying functions of  $x$ .**

# 9.1: The "Classical" Region

Let us first consider the case  $E > V(x)$ , i.e. the **classical region**. First, we will not make any approximation and find **exact equations for amplitude and phase**. Then, we will make the WKB approximation.



$$-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} + V(x)\psi = E\psi \quad E > V(x)$$

Exactly, this can be written  $\frac{d^2\psi}{dx^2} = -\frac{p^2}{\hbar^2}\psi$  where  $p(x) \equiv \sqrt{2m[E - V(x)]}$

Propose  $\psi(x) = A(x)e^{i\phi(x)}$ , which is **generic for any wave function**. Here both  $A(x)$  and  $\phi(x)$  are real functions, dependent on  $x$ .

$$\frac{d\psi}{dx} = (A' + iA\phi')e^{i\phi}$$

$$\frac{d\psi}{dx} = (A' + iA\phi')e^{i\phi} \longrightarrow \frac{d^2\psi}{dx^2} = [A'' + 2iA'\phi' + iA\phi'' - A(\phi')^2]e^{i\phi}$$

$$\frac{d^2\psi}{dx^2} = -\frac{p^2}{\hbar^2}\psi \longrightarrow A'' + 2iA'\phi' + iA\phi'' - A(\phi')^2 = -\frac{p^2}{\hbar^2}A$$

$$p(x) \equiv \sqrt{2m[E - V(x)]}$$

Real part:

$$A'' - A(\phi')^2 = -\frac{p^2}{\hbar^2}A$$

$$A'' = A \left[ (\phi')^2 - \frac{p^2}{\hbar^2} \right]$$

Cannot be solved unless we assume  $A'' \sim 0$ , i.e. amplitude varies slowly with  $x$ .

Imaginary part:

$$2A'\phi' + A\phi'' = 0$$

$$(A^2\phi')' = 0$$

$$A^2\phi' = C^2$$

Exact:

$$A = \frac{C}{\sqrt{\phi'}}$$

Again, the two exact eqs. are:

$$A'' = A \left[ (\phi')^2 - \frac{p^2}{\hbar^2} \right] \quad A = \frac{C}{\sqrt{\phi'}}$$

If  $A'' \sim 0$  because  $V(x)$  changes slowly, then:

$$(\phi')^2 = \frac{p^2}{\hbar^2}$$

$$\phi(x) = \pm \frac{1}{\hbar} \int p(x) dx$$

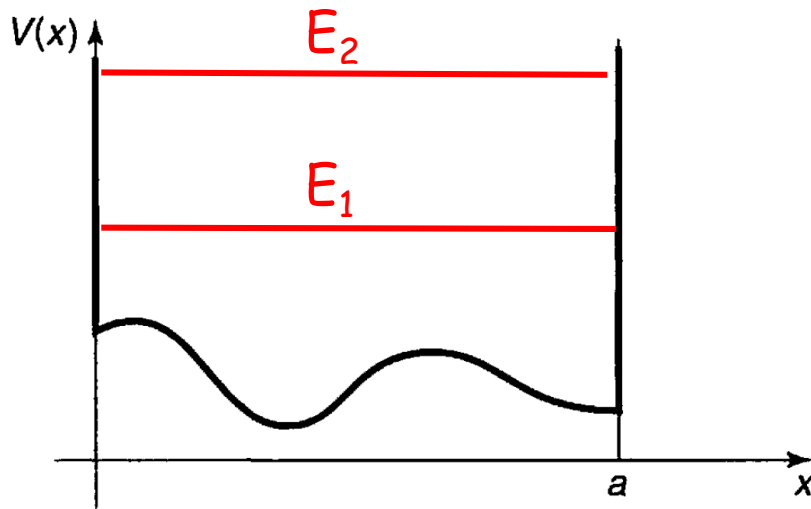
We started with  $\psi(x) = A(x)e^{i\phi(x)}$  then we arrive to:

$$\psi(x) \cong \frac{C}{\sqrt{p(x)}} e^{\pm \frac{i}{\hbar} \int p(x) dx}$$

This is the **WKB approximation** to the wave function.

Note  $\phi(x)$  is an **indefinite** integral i.e.  $x$  dependent.  
We will need boundary conditions.

## Example 9.1: Potential well with two vertical walls.



Assume  $E > V(x)$  for all values of  $x$  (this may or may not be correct, we just assume it).

We found before:

$$\psi(x) \cong \frac{C}{\sqrt{p(x)}} e^{\pm \frac{i}{\hbar} \int p(x) dx}$$

In general, we have to make a linear combination:

$$\psi(x) \cong \frac{1}{\sqrt{p(x)}} \left[ C_+ e^{i\phi(x)} + C_- e^{-i\phi(x)} \right]$$

$$\text{where } \phi(x) = \frac{1}{\hbar} \int_0^x p(x') dx'$$

Repeating  $\psi(x) \cong \frac{1}{\sqrt{p(x)}} [C_+ e^{i\phi(x)} + C_- e^{-i\phi(x)}]$



$$\psi(x) \cong \frac{1}{\sqrt{p(x)}} [C_1 \sin \phi(x) + C_2 \cos \phi(x)]$$

Boundary conditions:

(1)  $\psi(x) = 0$  at  $x = 0$

This means  $C_2 = 0$

(2)  $\psi(x) = 0$  at  $x = a$

This means  $\phi(a) = n\pi$  ( $n = 1, 2, 3, \dots$ )

$$\phi(a) = n\pi \quad (n = 1, 2, 3, \dots)$$

means

$$\int_0^a p(x) dx = n\pi \hbar$$



$$\int_0^a \sqrt{2m[E - V(x)]} dx = n\pi \hbar$$

where  $E$  is the unknown for each "n".

The integral can be done analytically and an equation for  $E$  will be found, or we can find  $E$  numerically.

**Test:** If  $V(x)=0$  inside the well, then of course we know the answer.

$$\int_0^a \sqrt{2m[E - V(x)]} = \int_0^a \sqrt{2m E} dx = n\pi\hbar$$

$$\sqrt{2m E} a = n\pi\hbar$$

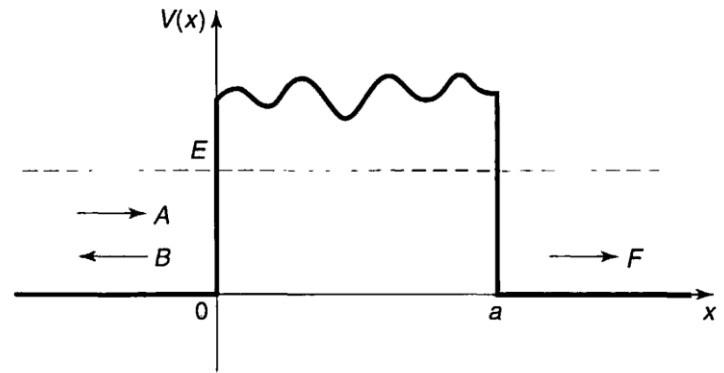
$$E_n = \frac{n^2\pi^2\hbar^2}{2ma^2}$$

which is the exact result.



# 9.2: "Tunneling"

Now consider regions that are NOT classical i.e.  $E < V(x)$ .

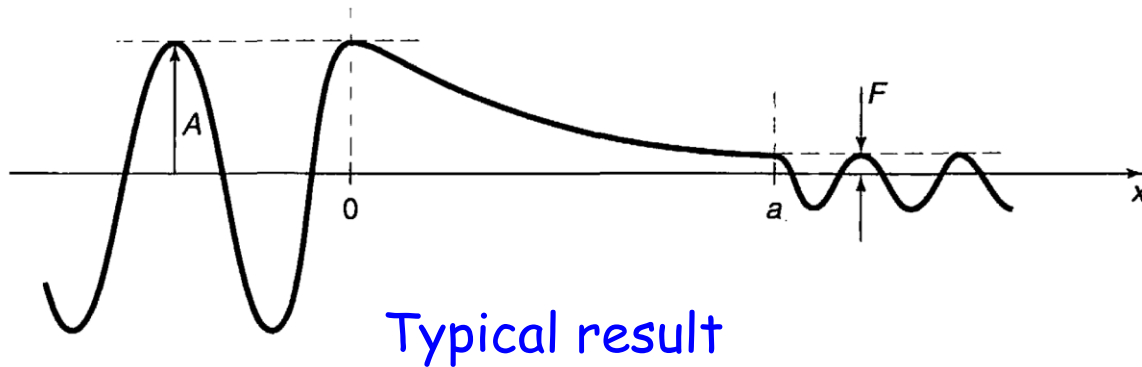


We can repeat all the same and we find:

$$\psi(x) \cong \frac{C}{\sqrt{|p(x)|}} e^{\pm \frac{1}{\hbar} \int |p(x)| dx}$$

Indefinite integral, i.e. x dependent

Note: no "i" in phase and |..| in p(x)



Typical result

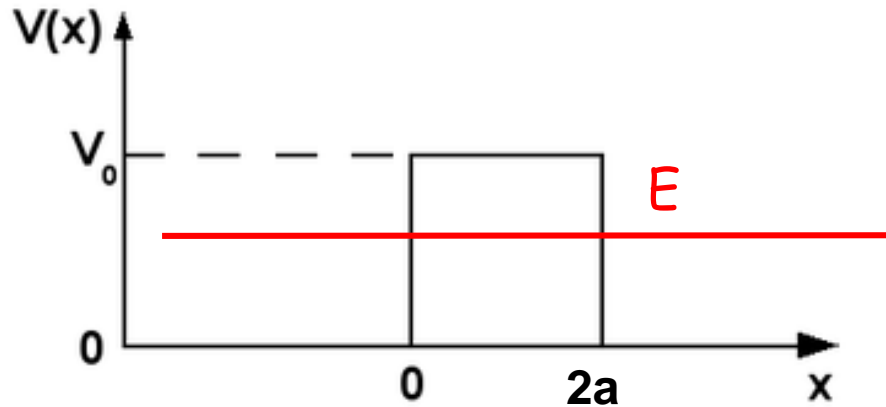
$$\frac{|F|}{|A|} \sim e^{-\frac{1}{\hbar} \int_0^a |p(x')| dx'}$$

The result of previous page is a general result:

$$\frac{|F|^2}{|A|^2} \sim e^{-\frac{1}{\hbar} 2 \int_0^a |p(x')| dx'}$$

$$T \cong e^{-2\gamma} \quad \gamma \equiv \frac{1}{\hbar} \int_0^a |p(x)| dx$$

**Example,**  
Problem 9.3 of HW9.  
Yes, I am giving you  
the solution 😊.



This is the  
**exact** result  
from Ch. 2,  
problem 2.33,  
page 75:

$$T_{\text{exact}} = \frac{1}{1 + \frac{V_0^2}{4E(V_0 - E)} \sinh^2 \gamma}$$

where

$$\gamma = \frac{2a}{\hbar} \sqrt{2m(V_0 - E)}$$

General WKB approx. for tunneling through barrier of width "a" is:

We pretend we do not know the exact result and try to use the WKB approximation:

$$T \cong e^{-2\gamma} \quad \gamma \equiv \frac{1}{\hbar} \int_0^a |p(x)| dx$$

Width of barrier is 2a here:

$$\gamma = \frac{1}{\hbar} \int |p(x)| dx = \frac{1}{\hbar} \int_0^{2a} \sqrt{2m(V_0 - E)} dx = \frac{2a}{\hbar} \sqrt{2m(V_0 - E)}$$

Then, WKB prediction for tunneling is then:

$$T \approx e^{-4a\sqrt{2m(V_0 - E)}/\hbar}$$

$$T_{\text{exact}} = \frac{1}{1 + \frac{V_0^2}{4E(V_0 - E)} \sinh^2 \gamma} \quad \left| \quad \sinh \gamma = \frac{1}{2}(e^\gamma - e^{-\gamma}) \approx \frac{1}{2}e^\gamma \quad \left| \quad \sinh^2 \gamma \approx \frac{1}{4}e^{2\gamma} \right.$$

$$T_{\text{exact}} \approx \frac{1}{1 + \frac{V_0^2}{16E(V_0 - E)} e^{2\gamma}} \approx \left\{ \frac{16E(V_0 - E)}{V_0^2} \right\} e^{-2\gamma}$$

$$\gamma_{\text{exact}} = \frac{2a}{\hbar} \sqrt{2m(V_0 - E)}$$

Dominant exponential, i.e.  $2\gamma$ , is exactly reproduced by WKB.