

What is the value of q ? For this purpose I have to use another common trick: **periodic boundary conditions**.

Instead of a very long line of length $\sim Na$ with $N \sim 10^{23}$ we will use a **circle** with the same perimeter. The physics cannot depend on this boundary effect.

$$\psi(x + Na) = \psi(x).$$

This trick fixes the value of q because

$$\psi(x + a) = e^{iqa} \psi(x) \quad \longrightarrow \quad \psi(x + \underbrace{a}_{\substack{\uparrow \\ N \\ \downarrow}}}) = e^{iqa} \psi(x) = \psi(x).$$

$$e^{iNqa} \psi(x) = \psi(x)$$

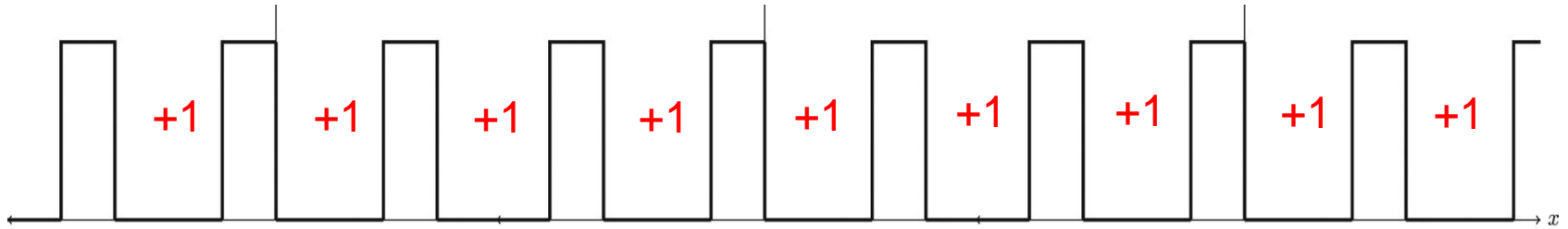
If $e^{iNqa} \psi(x) = \psi(x)$, then $e^{iNqa} = 1$ i.e. $Nqa = 2\pi n$.

$$q = \frac{2\pi n}{Na}, \quad (n = 0, \pm 1, \pm 2, \dots). \quad \text{Or } n=0,1,2,\dots,N-1$$

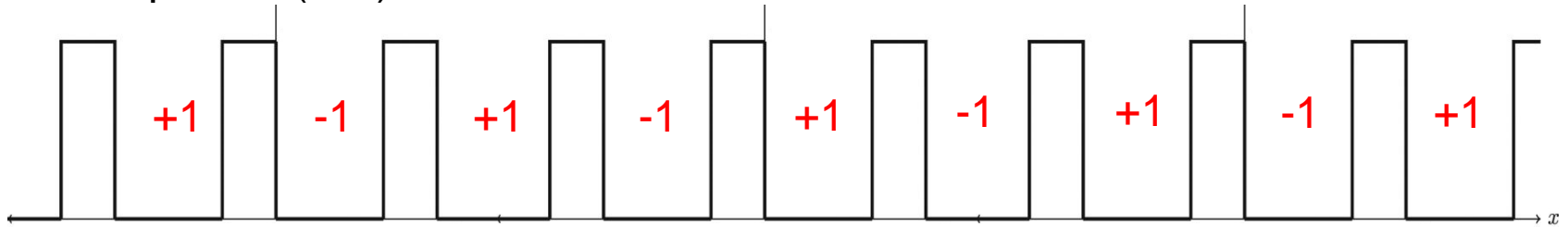
This theorem is independent of the "Dirac comb" we will use. **It is valid for any periodic potential.**

The importance is that you solve in the range $0 < x < a$, and then simply repeat the solution with different values of q , i.e. **with different phase factors.**

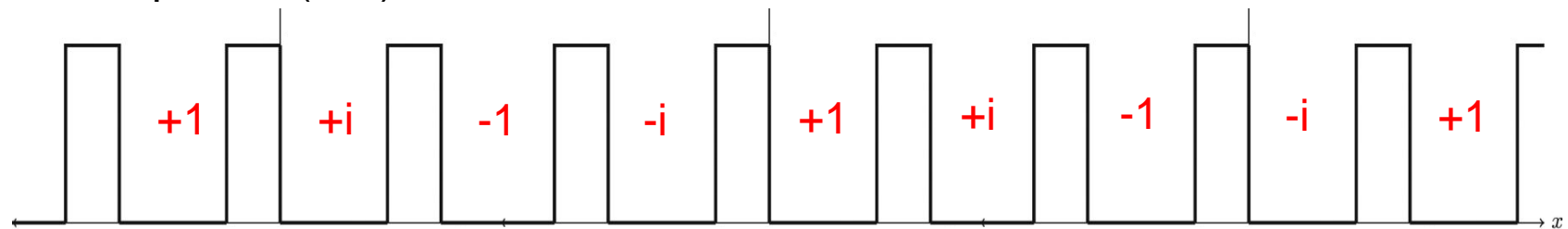
$$q\alpha = 2\pi \times 0/N = 0$$



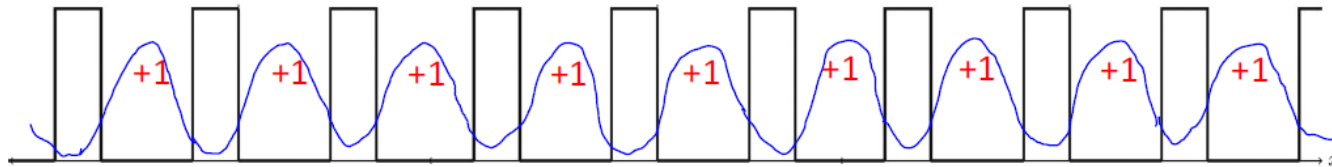
$$q\alpha = 2\pi \times (N/2)/N = \pi$$



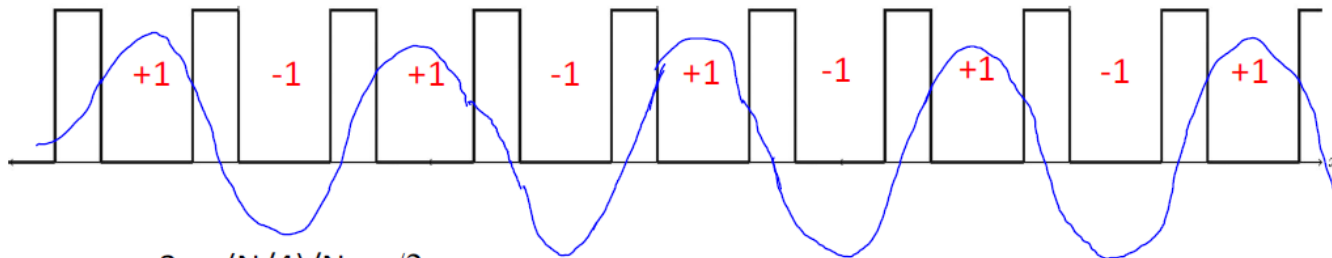
$$q\alpha = 2\pi \times (N/4)/N = \pi/2$$



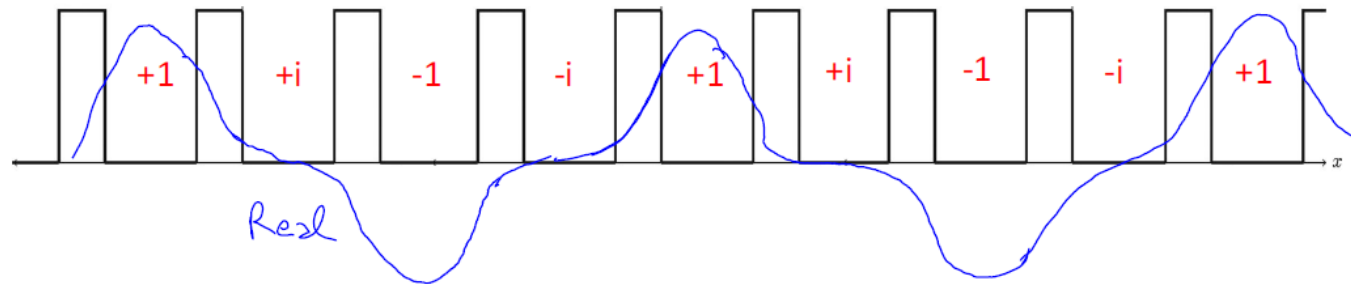
$$q=2\pi \times 0/N=0$$



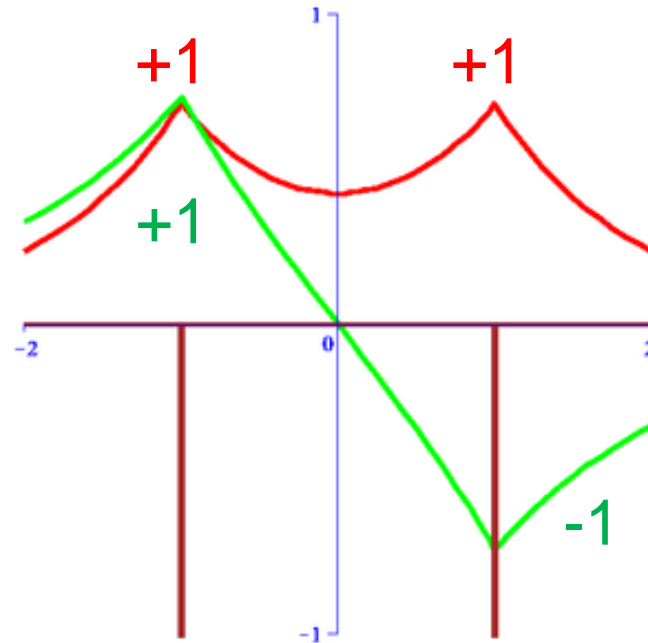
$$q=2\pi \times (N/2)/N= \pi$$



$$q=2\pi \times (N/4)/N= \pi/2$$



The two delta-functions discussed before correspond to $q=0$ and $q=\pi$.



In the Dirac comb, in between the delta functions the potential is $V(x)=0$, and the solutions are simple [$(\hbar k)^2 = 2mE$]


$$\psi(x) = A \sin(kx) + B \cos(kx), \quad (0 < x < a)$$

Because we know the solution in the range $0 < x < a$, then we know it everywhere, according to **Bloch's theorem**:

$$\psi(x + a) = e^{iqa} \psi(x)$$

This gives the wave function in the cell to the "right", if I have the wave function in the cell to the "left". Sometimes I have the wave function in the "right" cell, and I want the wave function in the "left cell": $\psi(x) = e^{-iqa} \psi(x+a)$.

$$\psi(x) = e^{-iqa} [A \sin k(x + a) + B \cos k(x + a)], \quad (-a < x < 0)$$



$$\psi(x + a)$$

To fix A and B , as with the delta function potential in QM P411, we will use:

- (1) the wave function has to be **continuous** at $x=0$.
- (2) the first derivative cannot be continuous for a delta function, but **its discontinuity we know how to calculate**.

Reminder: see page 65 of the book:

$$-\frac{\hbar^2}{2m} \int_{-\epsilon}^{+\epsilon} \frac{d^2\psi}{dx^2} dx + \int_{-\epsilon}^{+\epsilon} V(x)\psi(x) dx = E \int_{-\epsilon}^{+\epsilon} \psi(x) dx$$

$$\Delta \left(\frac{d\psi}{dx} \right) \equiv \lim_{\epsilon \rightarrow 0} \left(\left. \frac{d\psi}{dx} \right|_{+\epsilon} - \left. \frac{d\psi}{dx} \right|_{-\epsilon} \right) = \frac{2m}{\hbar^2} \lim_{\epsilon \rightarrow 0} \int_{-\epsilon}^{+\epsilon} V(x)\psi(x) dx.$$

$$\underbrace{\qquad\qquad\qquad}_{\substack{\uparrow \\ + \alpha \delta(x)}}$$

$$= \frac{2m\alpha}{\hbar^2} \psi(0)$$

(1) Continuity at $x=0$ requires that wave function

$$\psi(x) = A \sin(kx) + B \cos(kx), \quad (0 < x < a)$$

at $x=0$, i.e. $\psi(x=0) = B$, be equal to

$$\psi(x) = e^{-iqa} [A \sin k(x+a) + B \cos k(x+a)], \quad (-a < x < 0)$$

at $x=0$, which is $\psi(x=0) = e^{-iqa} [A \sin(ka) + B \cos(ka)]$

Thus, the first equation is:

$$B = e^{-iqa} [A \sin(ka) + B \cos(ka)]$$

q has to do with periodicity of the lattice; k has to do with the energy E .
Do not confuse them.

(2) The derivative of each of the two wave functions can be easily calculated and then specialized for $x=0$.

$$kA - e^{-iqa} k[A \cos(ka) - B \sin(ka)] = \frac{2m\alpha}{\hbar^2} B$$

$$\lim_{\epsilon \rightarrow 0} \left(\frac{d\psi}{dx} \Big|_{+\epsilon} - \frac{d\psi}{dx} \Big|_{-\epsilon} \right) = \frac{2m\alpha}{\hbar^2} \psi(0)$$

So, we have the two equations for A and B , easy to solve. There is no "independent" term i.e. each term has either A or B in front. Solving, leads to the condition that must be satisfied:

$$\cos(qa) = \cos(ka) + \frac{m\alpha}{\hbar^2 k} \sin(ka)$$

Introducing: $z \equiv ka$, and $\beta \equiv \frac{m\alpha a}{\hbar^2}$

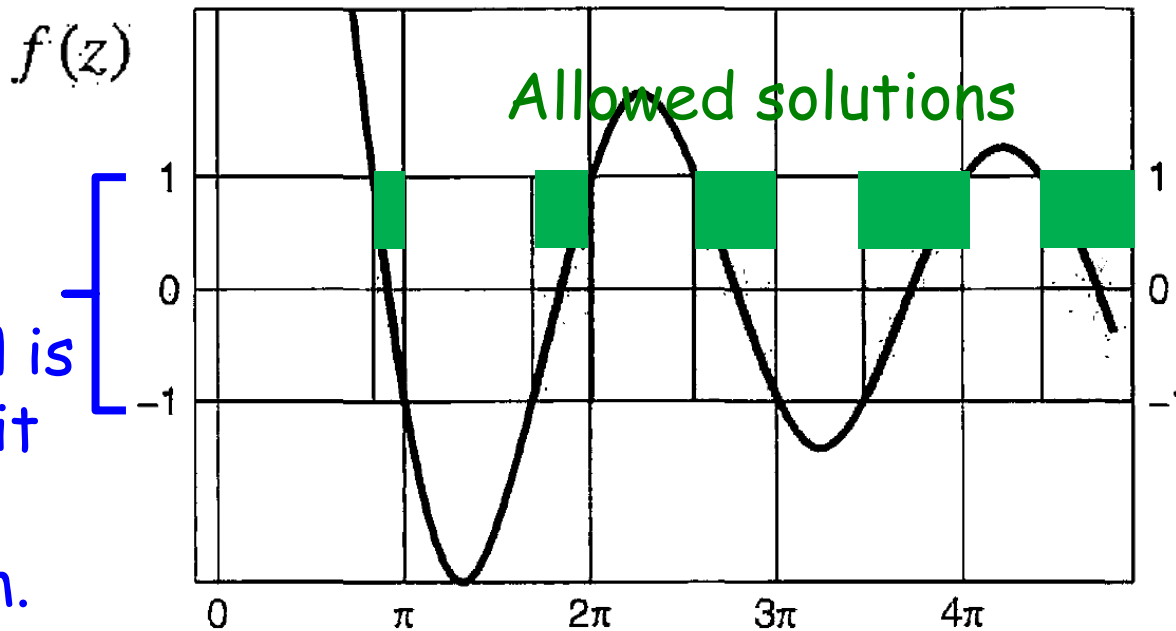
then we arrive to:

$$\underbrace{\cos(qa)}_{\text{Bounded between -1 and 1}} = \underbrace{\cos(z) + \beta \frac{\sin(z)}{z}}_{\text{Unbounded}} = f(z)$$

Bounded between
-1 and 1

Unbounded

Range of $\cos(qa)$.
 $qa = 2\pi n/N$ is
so dense it
forms a
continuum.



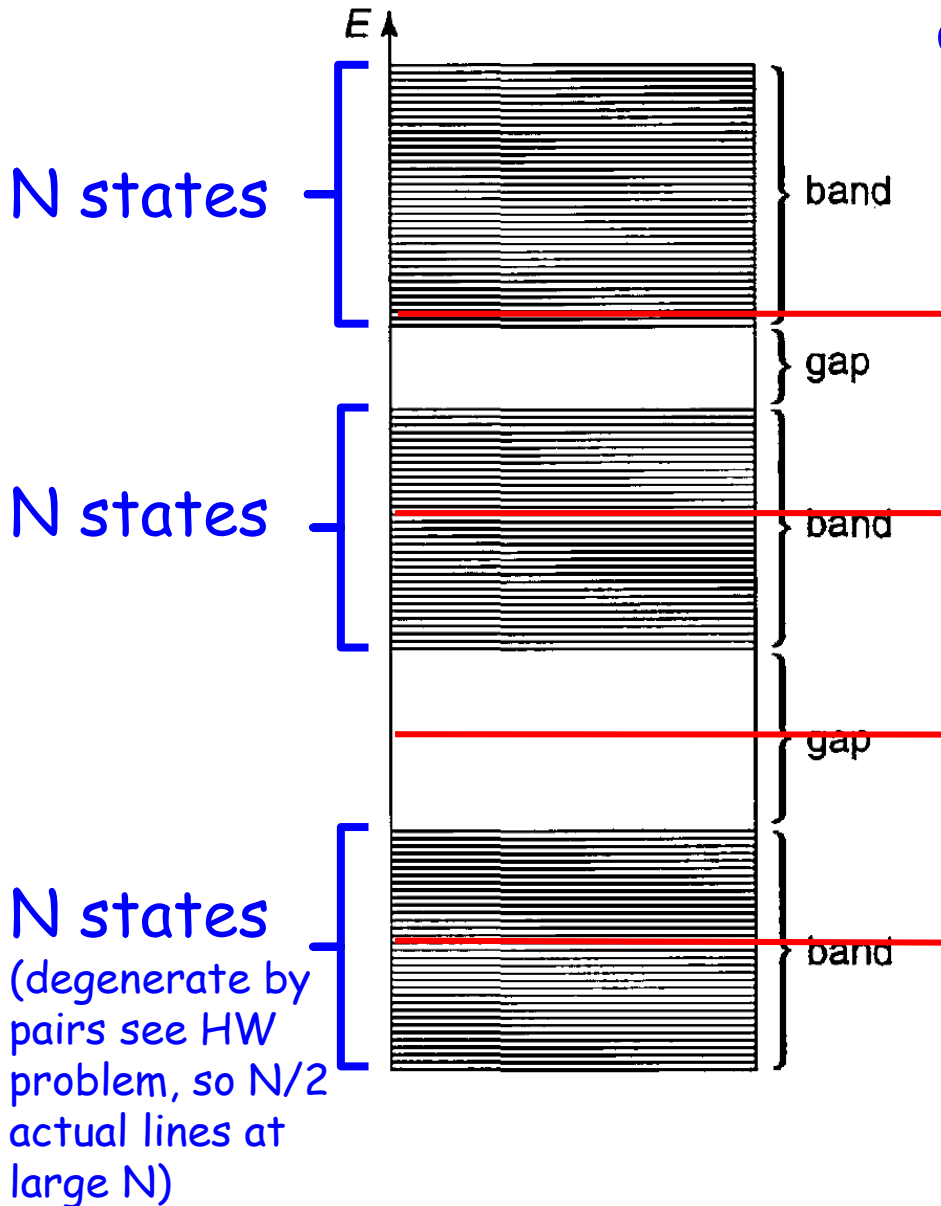
$\beta = 10$ as
example

$$z \equiv ka$$

$$k \equiv \frac{\sqrt{2mE}}{\hbar}$$

Gaps and bands typically of width order eV

Repeating: $qa=2\pi n/N$
 is so dense it forms
 almost a continuum.



$d=4+\varepsilon$ (semiconductor) \rightarrow
 second band fully occupied +
 a few more electrons ($2e/\text{state}$)

$d=3$ (metal) \rightarrow second band
 half occupied ($2e/\text{state}$)

$d=2$ (insulator) \rightarrow first band
 fully occupied ($2e/\text{state}$)

$d=1$ (metal) \rightarrow half-band
 occupied ($2e/\text{state}$)