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## Quick linear algebra refresher

$$\langle \phi | \psi \rangle = ( \dots ) \begin{pmatrix} \vdots \\ \vdots \\ \vdots \end{pmatrix} \quad \text{inner or scalar product.}$$

$$\langle a | b \rangle = (a_1, a_2) \begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = a_1 b_1 + a_2 b_2$$

$$|\psi\rangle\langle\phi| = \begin{pmatrix} \vdots \\ \vdots \\ \vdots \end{pmatrix} \overline{(\dots)} \quad \text{outer product}$$

$$|b\rangle\langle a| = \begin{pmatrix} b_1 \\ b_2 \end{pmatrix} (a_1, a_2) = \begin{pmatrix} b_1 a_1 & b_1 a_2 \\ b_2 a_1 & b_2 a_2 \end{pmatrix}$$

Canonical basis:  $(\hat{e}_1, \hat{e}_2)$      $\hat{e}_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$      $\hat{e}_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

$$\sum_n |\phi_n\rangle \langle \phi_n| = \mathbb{I}$$

Example:

$$|e_1\rangle \langle e_1| + |e_2\rangle \langle e_2| = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \end{pmatrix} + \begin{pmatrix} 0 \\ 1 \end{pmatrix} \begin{pmatrix} 0 & 1 \end{pmatrix} =$$

$$= \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \mathbb{I}$$

Also: for continuous basis elements

$$\mathbb{I} = \sum_n |\phi_n\rangle \langle \phi_n| \longrightarrow \int |x\rangle \langle x| dx = 1$$

Position basis (coordinate representation):

$|\bar{r}\rangle$  all possible position vectors (expand the Hilbert space).

$$\langle \bar{r}' | \bar{r} \rangle = \delta(\bar{r}' - \bar{r})$$

$$\psi(\bar{r}) \equiv \langle \bar{r} | \psi \rangle$$

$$\langle \psi | \bar{r} \rangle = \psi^*(\bar{r})$$

$$A\psi(\bar{r}) \equiv \langle \bar{r} | A | \psi \rangle$$

Also since  $\int |x\rangle \langle x| dx = 1$

$$\begin{aligned} \therefore \langle \psi | \phi \rangle &= \langle \psi | \int |x\rangle \langle x| dx | \phi \rangle = \int \langle \psi | x \rangle \langle x | \phi \rangle dx \\ &= \int \psi^*(x) \phi(x) dx \end{aligned}$$

## Canonical Ensemble:

$(N, V, T)$  defines the ensemble.

$$P_r \propto e^{-\beta \epsilon_r}$$

probability of an ensemble member found in state  $r$ .

In energy representation

$$\rho_{mn} = \rho_n \delta_{mn}$$

$$\therefore \rho_n = c e^{-\beta \epsilon_n}$$

because it is the probability of finding an ensemble member in state  $n$ .

$$\text{Since } \sum_r P_r = 1 \Rightarrow c = \frac{1}{\sum_n e^{-\beta \epsilon_n}} = \frac{1}{Z_N(\beta)}$$

$$\begin{aligned} \hat{\rho} &= \sum_n |\phi_n\rangle p_n \langle \phi_n| = \sum_n |\phi_n\rangle \frac{e^{-\beta \epsilon_n}}{Z_N(\beta)} \langle \phi_n| \\ &= \frac{e^{-\beta \hat{H}}}{Z_N(\beta)} \underbrace{\sum_n |\phi_n\rangle \langle \phi_n|}_{\mathbb{I}} = \frac{e^{-\beta \hat{H}}}{\text{Tr} e^{-\beta \hat{H}}} \mathbb{I} = \frac{e^{-\beta \hat{H}}}{\text{Tr} e^{-\beta \hat{H}}} \end{aligned}$$

$$\begin{aligned} \frac{D}{e^{-\beta \hat{H}}} e^{-\beta \hat{H}} \sum_n |\phi_n\rangle \langle \phi_n| &= \sum_{j=0}^{\infty} \frac{(-1)^j \beta^j \hat{H}^j}{j!} \sum_n |\phi_n\rangle \langle \phi_n| \\ &= \sum_n \sum_{j=0}^{\infty} \frac{(-1)^j \beta^j}{j!} \underbrace{\hat{H}^j |\phi_n\rangle \langle \phi_n|}_{\epsilon_n^j |\phi_n\rangle \langle \phi_n|} = \sum_n |\phi_n\rangle e^{-\beta \epsilon_n} \langle \phi_n| \end{aligned}$$

$$Z_N = \sum_n e^{-\beta E_n} = \sum_n e^{-\beta \hat{H}_n} = \text{tr} e^{-\beta \hat{H}}$$

$$\begin{pmatrix} e^{-\beta E_1} \\ \vdots \\ e^{-\beta E_N} \end{pmatrix}$$

but if we were in a different basis (not energy basis)

$$e^{-\beta \hat{H}} = \sum_{j=0}^{\infty} \frac{(-1)^j \beta^j \hat{H}^j}{j!}$$

not diagonal

but the trace is  
basis independent.

Now

$$\boxed{\langle \hat{G} \rangle_N = \text{Tr}(\hat{\rho} \hat{G}) = \text{Tr}(\hat{G} \hat{\rho}) = \frac{\text{Tr}(\hat{G} e^{-\beta \hat{H}})}{\text{Tr} e^{-\beta \hat{H}}}}$$

$$\text{Tr} AB = \text{Tr} BA$$

$$\sum_n (AB)_{nn} = \sum_{n,s} A_{ns} B_{sn} = \sum_{s,n} B_{sn} A_{ns} = \sum_s (BA)_{ss}$$

$$= \text{Tr} BA$$

Grand - Canonical:

$$P_{r,s} = \frac{e^{-\beta(\epsilon_r - \mu N_s)}}{\tilde{Z}(\mu, V, T)}$$

and in the energy representation

$$\rho_{mn} = \rho_n \delta_{mn} \quad \text{diagonal.}$$

$$\Rightarrow \hat{\rho} = \frac{e^{-\beta(\hat{H} - \mu \hat{N})}}{\tilde{Z}(\mu, V, T)}$$

Since  $\rho_n$  represents the probability of finding a member of the ensemble in the state  $n$  defined by  $(r,s)$ .



$$\mathcal{Z}(\mu, \nu, T) = \sum_{N_s} e^{-\beta(\epsilon_r - \mu N_s)} = \text{Tr} e^{-\beta(\hat{H} - \mu \hat{N})}$$

$$\therefore \langle \hat{G} \rangle = \frac{\text{Tr} (\hat{G} e^{-\beta \hat{H}} e^{\beta \mu \hat{N}})}{\mathcal{Z}(\mu, \nu, T)} =$$

$$= \frac{\sum_{N=0}^{\infty} \mathcal{Z}^N \langle G_N \rangle \mathcal{Z}_N(\beta)}{\sum_{N=0}^{\infty} \mathcal{Z}^N \mathcal{Z}_N(\beta)}$$

$$\langle \hat{G}_N \rangle = \frac{\text{tr} G e^{-\beta \hat{H}}}{\mathcal{Z}_N(\beta)} \Rightarrow \text{tr} G e^{-\beta \hat{H}} = \langle \hat{G}_N \rangle \mathcal{Z}_N(\beta)$$

Examples:  $e^-$  electron with  $\hat{S} = \frac{\hbar}{2} \hat{\sigma}$   $\mu_B = \frac{e\hbar}{2m_e c}$

$\hat{\sigma} = (\sigma_x, \sigma_y, \sigma_z)$  Pauli matrices.

We'll work in the basis in which  $\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$   
(diagonal)

$\hat{H} = -\mu_B (\hat{\sigma} \cdot \vec{B}) = -\mu_B B \hat{\sigma}_z$  energy of the electron in  
a field  $\vec{B}$ .

$$\hat{\rho} = \frac{e^{-\beta \hat{H}}}{\text{Tr} e^{-\beta \hat{H}}} = \frac{\begin{pmatrix} e^{+\mu_B B \beta} & 0 \\ 0 & e^{-\mu_B B \beta} \end{pmatrix}}{2 \cosh(\beta \mu_B B)}$$

We define the  $\hat{z}$  direction  
parallel to the direction  
of  $\vec{B}$ .

$$\vec{\sigma} \cdot \vec{B} = \hat{\sigma}_z B = \begin{pmatrix} B & 0 \\ 0 & -B \end{pmatrix}$$

$$\langle \sigma_z \rangle = \text{Tr}(\hat{\rho} \hat{\sigma}_z) = \frac{2 \sinh(\beta \mu_B B)}{2 \cosh(\beta \mu_B B)} = \tanh(\beta \mu_B B)$$

As we know.

$$\hat{\rho} \hat{\sigma}_z = \frac{\begin{pmatrix} e^{\beta \mu_B B} & 0 \\ 0 & e^{-\beta \mu_B B} \end{pmatrix}}{2 \cosh(\beta \mu_B B)} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \frac{\begin{pmatrix} e^{\beta \mu_B B} & 0 \\ 0 & -e^{-\beta \mu_B B} \end{pmatrix}}{2 \cosh(\beta \mu_B B)}$$

Free particle in a box:  $m, L^3 = V$

$$\hat{H} = -\frac{\hbar^2}{2m} \nabla^2 = -\frac{\hbar^2}{2m} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right)$$

PBC:  $E = \frac{\hbar^2 k^2}{2m}$        $k = \frac{2\pi}{L} (n_x, n_y, n_z)$   
 $n_i = 0, \pm 1, \pm 2, \dots$

$$\phi_E(\mathbf{r}) = \frac{1}{L^{3/2}} e^{i\hat{\mathbf{k}} \cdot \hat{\mathbf{r}}} = |\mathbf{E}\rangle \quad \textcircled{1}$$

eigenfunctions in energy representation.

$$\hat{H} \phi_E(\mathbf{r}) = E \phi_E(\mathbf{r})$$

Canonical ensemble in coordinate representation:

$$\hat{\rho} = ?$$

$$\langle r | e^{-\beta \hat{H}} | r' \rangle = \sum_E \underbrace{\langle r | E \rangle}_{\phi_E(\vec{r})} e^{-\beta E} \underbrace{\langle E | r' \rangle}_{\phi_E^*(\vec{r}')} =$$

$$= \sum_E e^{-\beta E} \phi_E(\vec{r}) \phi_E^*(\vec{r}') =$$

$$= \sum_{\vec{k}} e^{-\beta \frac{\hbar^2 k^2}{2m}} \frac{1}{L^3 = V} e^{i\vec{k} \cdot \vec{r}} e^{-i\vec{k} \cdot \vec{r}'} =$$

$$E = \frac{\hbar^2 k^2}{2m}$$

$$\stackrel{\textcircled{1}}{\Rightarrow} \frac{1}{V} \sum_{\vec{k}} e^{-\beta \frac{\hbar^2 k^2}{2m}} e^{-i\vec{k} \cdot (\vec{r} - \vec{r}')} \underbrace{\frac{1}{V} \sum_{\vec{k}}}_{\frac{1}{(2\pi)^3} \int d\vec{k}}$$

$$= \frac{1}{(2\pi)^3} \int e^{-\frac{\beta \hbar^2 k^2}{2m} + i \vec{k} \cdot (\vec{r} - \vec{r}')} d^3 k =$$

$$= \frac{1}{(2\pi)^3} \int e^{-\frac{\beta \hbar^2 k^2}{2m} + i[k_x(x-x') + k_y(y-y') + k_z(z-z')]} d^3 k =$$

$$e^{-ik_x(x-x')} = \cos(k_x(x-x')) + i \sin(k_x(x-x'))$$

$$= \left(\frac{m}{2\pi\beta\hbar^2}\right)^{3/2} e^{-\frac{m}{2\beta\hbar^2} |\vec{r} - \vec{r}'|^2} = \frac{e^{-\frac{m}{2\beta\hbar^2} |\vec{r} - \vec{r}'|^2}}{\lambda^3}$$

$$\lambda = \frac{h}{\sqrt{2\pi m k T}}$$

Next time: we will evaluate  
for  $e^{-\beta H}$  to complete  $\hat{\rho}$ .

$$\text{tr } e^{-\beta \hat{H}} = \int \langle r | \hat{\rho} | r \rangle d^3 r = \frac{1}{\lambda^3} \int d^3 r = \frac{V}{\lambda^3}$$

$$\therefore \hat{\rho} = \frac{e^{-\frac{m}{2\beta\hbar^2} |\bar{F} - \bar{r}|^2}}{V}$$

non-diagonal.

$$\rho_{r_1, r_1} = \rho_{r, r} \quad \text{symmetric.}$$

$$\rho_{rr} = \frac{1}{V} \quad \forall r \quad \text{uniform density.}$$